

COMPLIANCE STATUS INDICATORS (CSI)

	CSI CODES	CODE NAME	CODE DESCRIPTION
1	BLS	Below Listing Standard	Comprises of all deficiencies regarding Continuing Non-Financial Disclosures such as free float deficiency
2	MRF	Missed Regulatory Filing	Issuer Missed Regulatory Filing Deadline
3	DWL	Delisting Watch-list	These are companies that have been served with delisting notice but the delisting process has been put on hold because they have received a stay of action from The Exchange for a defined period during which they undertake to cure the issues that led to the issuance of the delisting notice. If they fail to cure within the defined period or any extension thereof, the hold on the delisting process will be lifted.
4	DIP	Delisting in Progress	These are companies that are in the delisting process, mandatory or voluntary. The delisting process commences with a notice of intention to delist from The Exchange to an issuer (mandatory) or to The Exchange from an issuer (voluntary).
5	AWR	Awaiting Regulatory Approval	These are companies that are awaiting the approval or no objection of their primary or another government regulator before releasing their audited financial statements.
6	RST	Restructuring.	These are companies that are in the undergoing restructuring.
7	BMF	Below Listing Standard and Missed Regulatory Filing	Missed Regulatory Filing and Below Listing Standard
8	BAA	Below Listing Standard and Awaiting Regulatory Approval	Below Listing Standard and Awaiting Regulatory Approval
9	BRS	Below Listing Standard and Restructuring	Below Listing Standard and Restructuring
10	MRS	Missed Regulatory Filing and Restructuring	Missed Regulatory Filing and Restructuring
11	BMR	Below Listing Standard, Missed Regulatory Filing and Restructuring	Below Listing Standard, Missed Regulatory Filing and Restructuring